

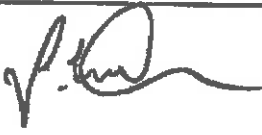




BARNSELY METROPOLITAN BOROUGH COUNCIL
Report of Director of Finance, Assets and IT

Report Title: Minor restructure within Internal Audit and Corporate Anti-Fraud

Implementation Date: 1st April 2017

1. Authorisation

<p>Author</p> <p>Author Contact: Rob Winter</p> <p>Date: 4th January 2017</p> <p>Signed by Head of Service: </p>	
<p>Certification by Director:</p> <p>Date: </p>	<p>Cabinet Spokesperson (for Items over £500,000):</p> <p>Date:</p>
<p>Authorisation by Finance: </p> <p>Date: 6/1/17</p>	<p>Comments- Pay and Reward Some typing errors on grades - have amended</p> <p>Date:  11-1-17</p>
<p>Authorisation by the Director of Human Resources, Performance and Communications:</p> <p>Date: 11/1/17 </p>	

2. Introduction/Background

The report is seeking permission to implement a minor restructure of the Internal Audit and Corporate Anti-Fraud Team in order to deliver the required savings to the Council budget in 2017/18.

The restructure incorporates an additional Principal Auditor post and 3 fewer Senior Auditor posts, a re-designation of the previous Senior / Corporate Fraud Investigator posts to Senior / Corporate Anti-Fraud Officers and a general review of all job profiles.

Some minor changes to reporting lines are also proposed.

The implication of the reduction of posts and therefore Internal Audit coverage will be for the Council rather than for the external client who provide a valuable income stream.

3. Proposal and Justification

Currently the structure comprises 19 FTEs:

- 1 x Head of Internal Audit and Corporate Anti-Fraud
- 2 x Audit Managers
- 4 x Principal Auditors
- 7 x Senior Auditors
- 2 x Auditors
- 1 x Principal Auditor Corporate Anti-Fraud
- 1 x Senior Corporate Fraud Investigator
- 1 x Corporate Fraud Investigator

This report seeks permission to make the following changes:

- 1 additional Principal Auditor
- 3 fewer Senior Auditors

Net reduction of 2 FTEs.

These changes are required in order to achieve the prescribed budget savings for 2017/18.

Whilst seeking the saving or a contribution thereto from external income is an option, the feasibility of this for 2017/18 is very limited and in fact there is likely to be a slight reduction in external income as well in 2017/18.

There are therefore no practical alternatives than to reduce the structure.

4. Employee Implications

The restructure will be managed / implemented following the Managing Change Policy and Procedures. There is likely to be no employees put at risk as a result of this proposal. In fact there will be two vacancies to fill for 1st April 2017.

5. Financial Implications (previously Appendix A)

	<u>2017/18</u>	<u>2018/19</u>	<u>2019/20</u>	<u>Later</u>
	(£)	(£)	(£)	Years
				(£)
Capital Expenditure	NIL	NIL	NIL	NIL
To be financed from:				
	<u>2017/18</u>	<u>2018/19</u>	<u>2019/20</u>	<u>Later</u>
	(£)	(£)	(£)	Years
				(£)
Revenue Effects	-94,000	0	0	0
To be financed from:				
Reduction in base budget				

6. Consultation

All employees in the Team have been fully consulted on this proposal, as has the Unison TU Convenor.

The Team were shown the proposals on 29th September. The TU Convenor was copied into this.

7. Recommendations: (Previously Appendix b)

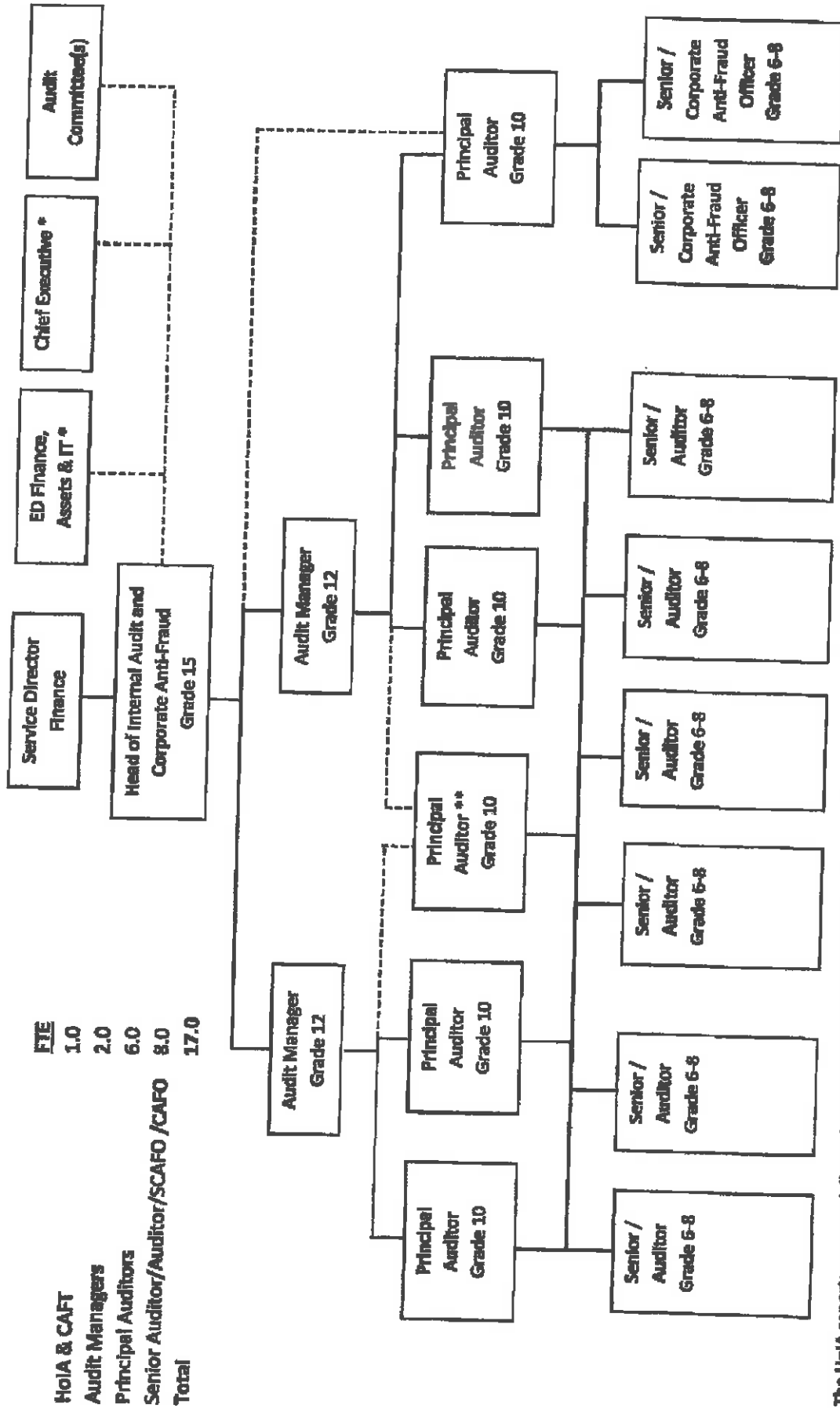
Please complete the recommendations excel list and return along with this report.

8. List of Appendices

To Include:

- 1. Current Structure - available from Organisation Management (orgmanagement@barnsley.gov.uk, Ext 3365)**
- 2. Proposed Structures.**
- 3. Recommendations excel list (previously Appendix b)**

Internal Audit and Corporate Anti-Fraud – Structure April 2017



	FTE
HolA & CAFT	1.0
Audit Managers	2.0
Principal Auditors	6.0
Senior Auditor/Auditor/SCAFO /CAFO	8.0
Total	17.0

* The HolA reports managerially to the Service Director – Finance but has specific accountabilities and access to the ED Finance, Assets and IT and Chief Executive of BMBC and the equivalent posts and all audit committees in all client organisations.

** key reporting line will be determined through the audit planning process.

